

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 047714-5002-US
International Application. No.	International Filing Date	U.S. Application No. 10/018691 ✓ Unassigned
PCT/US99/15135 ✓	July 2, 1999 ✓	Priority Date Claimed July 2, 1998 ✓

Title of Invention: TAXOL PRODUCTION VIA GENERATION OF EXTRACHROMOSOMAL DNAs IN THE

FUNGUS PESTALOTIOPSIS

Applicants For EO/EO/US

David M. LONG, Eric D. SMIDANSKY and Gary A. STROBEL

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☐ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

**Items 11. to 14. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☒ A SECOND or SUBSEQUENT preliminary amendment.
- Other items or information:
  - a. Petition for Revival of an International Application
  - b. PCT/IB/308

U.S. APPLICATION NO. | INTERNATIONAL APPLICATION NO. | ATTORNEY DOCKET NUMBER

10/018691

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15. ☒ The following fees are submitted:
- Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):**
- Search Report has been prepared by the EPO or JPO.....\$890.00
- International preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482).....\$710.00
- No international preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482) but international search fee  
paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00
- Neither international preliminary examination fee  
(37 C.F.R. § 1.482) nor international search fee  
(37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00
- International preliminary examination fee paid to USPTO  
(37 C.F.R. § 1.482) and all claims satisfied provisions  
of PCT Article 33(2)-(4).....\$100.00
- ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date  
(37 C.F.R. § 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	22 - 20 =	2	X \$18.00	\$ 36.00
Independent Claims	5 - 3 =	2	X \$84.00	\$ 168.00
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 280.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 1374.00</b>
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
<b>SUBTOTAL =</b>				<b>\$ 1374.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1374.00</b>
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$
Petition for Revival of an International Application				<b>\$1280.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 2654.00</b>
Amount to be refunded				\$
Amount to be charged				\$

- a. ☒ Two checks in the amounts of \$1374.00 and \$1280.00 to cover the above fees are enclosed.
- b. ☐ Please charge my Deposit Account No. 50-0310 in the amount of \$.00  
to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized  
by this paper to charge any additional fees during the entire pendency of this application  
including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any  
overpayment to Deposit Account No. 50-0310.

Customer No. 09629

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